



1774

Amdt. Trans.
PATENT

Our File No.: A34258-PCT-USA
Date: February 15, 2003

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TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Poopathy Kathirgamanathan

Serial No.: 09/830,897

Examiner: C. Thompson

Filed: May 2, 2001

Art Unit: 1774

For: NOVEL ELECTROLUMINESCENT MATERIALS

I hereby certify that this paper is being deposited with the United States Postal Service
as first class mail in an envelope addressed to: Assistant Commissioner for Patents
Washington, DC 20231

February 13, 2003
Date of Deposit

Marta E. Delsignore
Attorney Name

32,689
PTO Registration

Marta E. Delsignore
Signature

February 13, 2003
Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

1. ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
2. ☐ Information Disclosure Statement
3. ☒ No additional fee is required.

The Fee has been calculated as shown below:

		Claims remaining after amendt. (Col. 1)		Highest No. Prev. Paid for (Col. 2)		Present extra (Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY				
							RATE		FEE	RATE		FEE		
Total	*	20	Minus **	20	=	0	X	9	=	0	X	18	=	0
Ind.	*	3	Minus ***	3	=	0	X	42	=	0	X	84	=	0
() First Presentation of Multiple Dependent Claims							+	140	=	0	+	280	=	0
TOTAL ADDITIONAL FEE:									0	TOTAL:		0		

* If the entry in Col 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. prev. paid for" in this space is less than 20, write "20" in this space.

*** If the "Highest No. prev. paid for" in this space is less than 3, write "3" in this space.

4.(a) ☐ An Extension of Time to respond to the PTO communication dated is hereby requested. The required fee, indicated below, is enclosed herewith.

Extension for response (check only one):

	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
Within first month	<input type="checkbox"/>	\$ 55	<input type="checkbox"/>	\$ 110
Within second month	<input type="checkbox"/>	205	<input type="checkbox"/>	410
Within third month	<input type="checkbox"/>	465	<input type="checkbox"/>	930
Within fourth month	<input type="checkbox"/>	725	<input type="checkbox"/>	1,450

(check and complete the next item, if applicable)

☐ An extension for has already been secured and the fee paid therefore of \$ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$.

or

(b) ☐ In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. ☐ Please charge our Deposit Account No. 50-1145 in the amount of \$. Two copies of this sheet are enclosed.

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6. ☐ A check in the amount of \$0 is enclosed.
7. ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees are required under 37 CFR 1.16 and/or 37 CFR 1.117 associated with this communication or credit any overpayment to Deposit Account No. 50-1145. Two copies of this sheet are enclosed.

PITNEY, HARDIN, KIPP & SZUCH LLP

By: Marta E. Delsignore
Marta E. Delsignore

PTO Registration No. 32,689

Pitney, Hardin, Kipp & Szuch LLP
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Enclosures



A34258-PCT-USA

11/C
2/26/03

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AMENDMENT

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Marta E. Delsignore
Attorney Name

32,689
PTO Registration No.

Marta E. Delsignore
Signature

February 13, 2003
Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Office Action mailed September 26, 2002 and the Notice of Non-Compliant Amendment mailed February 6, 2003 please amend the above-identified patent application as follows.

In the Specification

Please amend page 5, lines ~~29~~ and ~~30~~ and page 6, lines 1-3 to read as follows:

01
In a preferred structure there is a substrate formed of a transparent conductive material which is the anode on which is successively deposit a hold transportation layer, the electroluminescent material layer and an electron injection layer which is connected to the cathode. The cathode can be any low work function metal e.g. aluminum, calcium, lithium, silver/magnesium alloys, etc.